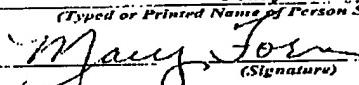


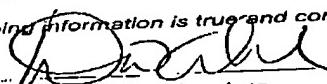
RECEIVED  
CENTRAL FAX CENTER

JUN 09 2004

OFFICIAL

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b>			
Applicant(s): Ye, et al.		Docket No. <b>133070 (GE5-0029)</b>	
Serial No. <b>10/677,559</b>	Filing Date <b>October 1, 2003</b>	Examiner <b>NYA</b>	Group Art Unit <b>2838</b>
Invention: <b>METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATIONS</b>			
<p>I hereby certify that this <u>Recordation Form Cover Sheet and Assignment documents</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>703-872-9306</u>) on <u>June 9, 2004</u> (Date)</p> <p style="text-align: right;"><u>Mary Forcier</u> (Typed or Printed Name of Person Signing Certificate)  (Signature)</p>			
<p>Note: Each paper must have its own certificate of mailing.</p>			

Docket No.: 133070 (GE5-0029)

RECORDATION FORM COVER SHEET		U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office
<b>PATENTS ONLY</b>		
<p>To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.</p> <p><b>Tab Settings</b> → → → → →</p> <p>1. Name of conveying party(ies):  <input checked="" type="checkbox"/> Zhihong Ye  <input type="checkbox"/> Changyong Wang  <input type="checkbox"/> Rui Zhou  <input type="checkbox"/> Leigh Allen Walling</p> <p>Additional names(s) of conveying party(ies) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No</p> <p>3. Nature of conveyance:  <input type="checkbox"/> Assignment <input type="checkbox"/> Merger  <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name  <input checked="" type="checkbox"/> Other <u>Correction to Recordation Form Cover Sheet</u></p> <p>Execution Date: 09/29/03, 09/30/03, 09/29/03, 09/29/03</p> <p>4. Application number(s) or patent numbers(s):  If this document is being filed together with a new application, the execution date of the application is: _____</p> <p>A. Patent Application No.(s)  10/677,559</p> <p>5. Name and address of party to whom correspondence concerning document should be mailed:  Name: Philmore H. Colburn II  Internal Address: Cantor Colburn LLP  Street Address: 55 Griffin Road South  City: Bloomfield State: CT ZIP: 06002</p> <p>6. Total number of applications and patents involved: <input type="checkbox"/> 1</p> <p>7. Total fee (37 CFR 3.41): \$ 40.00</p> <p><input type="checkbox"/> Enclosed - Any excess or insufficiency should be credited or debited to deposit account</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number:  06-1130</p> <p>(Attach duplicate copy of this page if paying by deposit account)</p> <p>DO NOT USE THIS SPACE</p> <p>9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  David Arnold, Reg. No. 48,894  Name of Person Signing   Signature _____ Date June 9, 2003</p> <p>Total number of pages including cover sheet, attachments, and document: 50 Date</p> <p><small>This document is to be recorded with required cover sheet information to</small></p>		

133070 (GES-0029)

RECORDATION COVER SHEET  
**PATENTS ONLY**  
(ADDITIONAL INVENTORS)

<u>Name of Conveying Party</u>	<u>Execution Date</u>
Peter Claudio Sorza	09/29/03
Mark Edward Dame	09/29/03
Vinod John	09/29/03
Luis Jose Garces	09/29/03
Lei Li	09/30/03
William James Pomerlani	09/29/03
Yan Lui	09/29/03

GE Docket No. 133070 (GES-0029)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHIHONG (NMN) YE	of	CLIFTON PARK, NY
VINOD (NMN) JOHN	of	MONTPELIER, VT
CHIANGYONG (NMN) WANG	of	SHANGHAI CHINA
LUIS JOSE GARCES	of	SCHENECTADY, NY
RUI (NMN) ZHOU	of	CLIFTON PARK, NY
LEI (NMN) LI	of	SHANGHAI CHINA
REIGH ALLEN WALLING	of	CLIFTON PARK, NY
WILLIAM JAMES PREMERLANI	of	SCOTIA, NY
PETER CLAUDIO SANZA	of	NISKAYUNA, NY
YAN (NMN) LIU	of	SCHENECTADY, NY
MARK EDWARD DAME	of	NISKAYUNA, NY

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

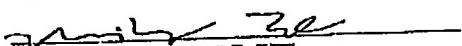
**METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS**

- executed concurrently herewith, or  
 filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

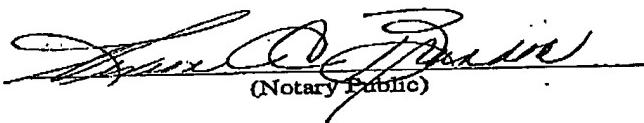
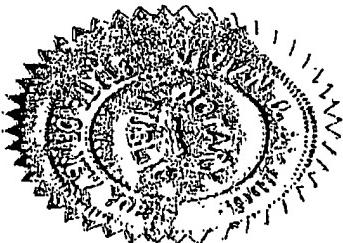
GE Docket No. 133070 (GE5-0029)

Inventor 1

  
ZHIHONG (NMN) YEDate: 9/29/2003STATE OF NEW YORK }  
} SS:  
COUNTY OF SCHENECTADY )

This 29th day of SEPTEMBER, 2003, before me personally came the above named  
ZHIHONG (NMN) YE to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

  
(Notary Public)

VIVIAN D. BRANDON Notary Public - State of New York Qualified in Albany County No. 4985535 My Commission Expires August 19, 2005
---

GE Docket No. 133070 (GE5-0029)

Inventor 2

Date: \_\_\_\_\_

**VINOD (NMN) JOHN**

STATE OF VERMONT )  
                      )  
COUNTY OF          )  
                      )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

**VINOD (NMN) JOHN** to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 3

Date: \_\_\_\_\_

**CHANGYONG (NMN) WANG**

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

GE Docket No. 133070 (GE5-0029)

Inventor 4

Luis Jose Garces  
LUIS JOSE GARCESDate: 9-29-2003STATE OF NEW YORK )  
) SS:  
COUNTY OF SCHENECTADY )

This 29 day of September, 2003, before me personally came the above named  
**LUIS JOSE GARCES** to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

Jean H. Myers  
(Notary Public)

JEAN H. MYERS  
Notary Public in the State of New York  
Commissioned in Schenectady Co.  
No. 087480259 2006  
My Organization Expired 10/04/2009

GE Docket No. 133070 (GES-0029)

Inventor 5

Date: 09/29/03

RUF (NMN) ZHOU

STATE OF NEW YORK )  
 ) SS:  
COUNTY OF SCHENECTADY )

This 29th day of September, 2003, before me personally came the above named  
RUI (NMN) ZHOU to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

**Seal**

(Notary Public)

GE Docket No. 133070 (GE5-0029)

## Inventor 6

\_\_\_\_\_  
LEI (NMN) L.L.C.  
\_\_\_\_\_  
Signature

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Date: \_\_\_\_\_

Witnessed by: \_\_\_\_\_  
\_\_\_\_\_  
Signature  
\_\_\_\_\_  
Printed Name of Witness

Date: \_\_\_\_\_

GE Docket No. 133070 (GES-0029)

Inventor 7

Date: \_\_\_\_\_

**REIGH ALLEN WALLING**STATE OF NEW YORK )  
                        ) SS:

COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

**REIGH ALLEN WALLING** to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

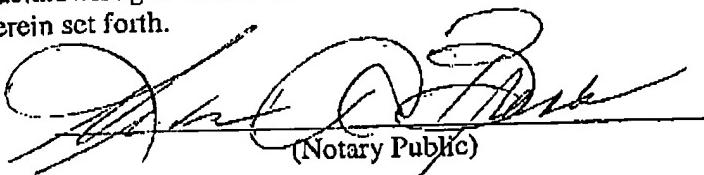
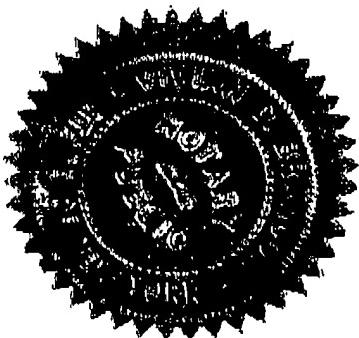
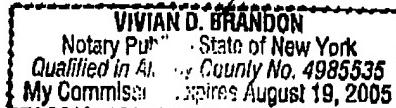
Seal

(Notary Public)

GE Docket No. 133070 (GR5-0029)

Inventor<sup>s</sup>  
WILLIAM JAMES PREMERLANIDate: 9/29/03STATE OF NEW YORK )  
) SS:

COUNTY OF SCHENECTADY )

This 29th day of September, 2003, before me personally came the above named**WILLIAM JAMES PREMERLANI** to me personally known as the individual who executed  
the foregoing assignment, who acknowledged to me that he/she executed the same of his/her  
own free will for the purposes therein set forth.  
(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 9

Peter Claudius Sanza  
PETER CLAUDIUS SANZADate: 9/29/2003STATE OF NEW YORK )  
                        ) SS:  
COUNTY OF SCHENECTADY )

This 29th day of September, 2003, before me personally came the above named  
PETER CLAUDIUS SANZA to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

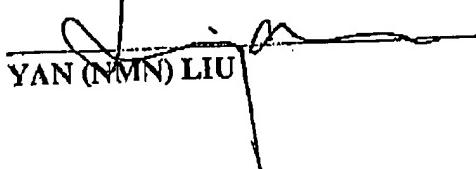
Jean G. Myers

(Notary Public)

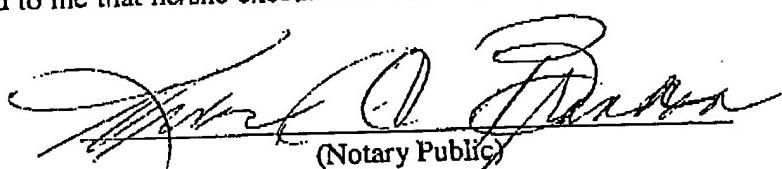
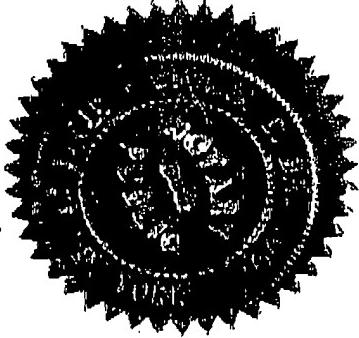
JEAN G. MYERS  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
#100-0000000  
My Commission Expires Jan 1, 2006

GE Docket No. 133070 (GES-0029)

Inventor 16

  
YAN (NMN) LIUDate: 09/29/2003STATE OF NEW YORK )  
) SS:  
COUNTY OF SCHENECTADY )This 27th day of September, 2003, before me personally came the above namedYAN (NMN) LIU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

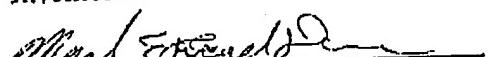


(Notary Public)

VIVIAN D. BRANDON Notary Public - State of New York Qualified in Albany County No. 4985535 My Commission Expires August 19, 2005
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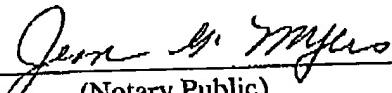
GE Docket No. 133070 (GEB-0029)

Inventor 11

  
MARK EDWARD DAMEDate: 9/29/05STATE OF NEW YORK )  
) SS:  
COUNTY OF SCHENECTADY )

This 29th day of September, 2003, before me personally came the above named  
**MARK EDWARD DAME** to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

  
(Notary Public)

JEAN G. MYERS  
Notary Public in the State of New York  
Qualified in Schenectady Co.  
No. 0147450200  
My Commission Expires 10/2006

GE Docket No. 133070 (GE5-0029)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHILIONG (NMN) YE	of	CLIFTON PARK, NY
VINOD (NMN) JOHN	of	MONTPELIER, VT
CHANGYONG (NMN) WANG	of	SHANGHAI CHINA
LUIS JOSE GARCES	of	SCHENECTADY, NY
RUI (NMN) ZHOU	of	CLIFTON PARK, NY
LEI (NMN) LI	of	SHANGHAI CHINA
REIGH ALLEN WALLING	of	CLIFTON PARK, NY
WILLIAM JAMES PREMERLANI	of	SCOTIA, NY
PETER CLAUDIUS SANZA	of	NISKAYUNA, NY
YAN (NMN) LIU	of	SCHENECTADY, NY
MARK EDWARD DAME	of	NISKAYUNA, NY

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS**

- executed concurrently herewith, or  
 filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 133070 (GES-0029)

Inventor 1

Date: \_\_\_\_\_

ZHIHONG (NMN) YESTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
ZHIHONG (NMN) YE to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

JUN-09-2004 WED 03:54 PM CANTOR COLBURN LLP  
Sep-28-2003 09:56 From-NORTHERN POWER SYSTEMS

FAX NO. 8602860115

8024962953

I-618 T-002/UUS F-404

P. 18

GB Docket N . 133070 (GE5-0029)

Inventor 2

Vinod John  
VINOD (NMN) JOHN

Date: 29 Sept. 2003

STATE OF VERMONT

)  
SS: 471-25-7874

COUNTY OF WASHINGTON )

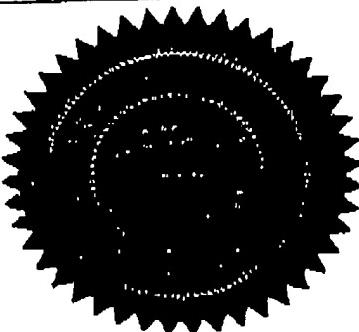
This Mon day of Sept. 29<sup>th</sup>, 2003, before me personally came the above named

VINOD (NMN) JOHN to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

Lorraine Ford  
(Notary Public)

Lorraine Ford  
Notary Public State of Vermont  
Washington County  
My commission expires:  
February 10, 2007



JUN-09-2004 WED 03:55 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 19

GB Docket N . 133070 (GE5-0029)

Inventor 3

Date: \_\_\_\_\_

**CHANGYONG (NMN) WANG**

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

GE Docket No. 133070 (GES-0029)

Inventor 4

Date: \_\_\_\_\_

LUIS JOSE GARCESSTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

LUIS JOSE GARCES to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GB Docket No. 133070 (GE5-0029)

Inventor 5

Date: \_\_\_\_\_

RUI (NMN) ZHOU

STATE OF NEW YORK                )  
                                    )  
                                    ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
RUI (NMN) ZHOU to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

(Notary Public)

GB Docket No. 133070 (GB5-0029)

Inventor 6

Date: \_\_\_\_\_

**LEI (NMN) LI**Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature \_\_\_\_\_

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature \_\_\_\_\_

Printed Name of Witness

GE Docket No. 133070 (GES-0029)

Inventor 7

Date: \_\_\_\_\_

**REIGH ALLEN WALLING**STATE OF NEW YORK )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
**REIGH ALLEN WALLING** to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 8

Date: \_\_\_\_\_

WILLIAM JAMES PREMERLANISTATE OF NEW YORK        )  
                            ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
WILLIAM JAMES PREMERLANI to me personally known as the individual who executed  
the foregoing assignment, who acknowledged to me that he/she executed the same of his/her  
own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GB5-0029)

Inventor 9

Date: \_\_\_\_\_

PETER CLAUDIUS SANZASTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
PETER CLAUDIUS SANZA to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 10

Date: \_\_\_\_\_

YAN (NMN) LIUSTATE OF NEW YORK        )  
                              )  
                              SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

YAN (NMN) LIU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 11

Date: \_\_\_\_\_

MARK EDWARD DAMESTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
MARK EDWARD DAME to me personally known as the individual who executed the  
foresaid assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GB5-0029)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHIMIONG (NMN) YE	of	CLIFTON PARK, NY
VINOD (NMN) JOHN	of	MONTPELIER, VT
CHANGYONG (NMN) WANG	of	SHANGHAI CHINA
LUIS JOSE GARCES	of	SCHENECTADY, NY
RUI (NMN) ZHOU	of	CLIFTON PARK, NY
LEI (NMN) LI	of	SHANGHAI CHINA
REIGII ALLEN WALLING	of	CLIFTON PARK, NY
WILLIAM JAMES PREMERLANI	of	SCOTIA, NY
PETER CLAUDIO SANZA	of	NISKAYUNA, NY
YAN (NMN) LIU	of	SCHENECTADY, NY
MARK EDWARD DAME	of	NISKAYUNA, NY

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by us and described in the application for United States Patent currently entitled:

**METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS**

- executed concurrently herewith, or  
 filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 133070 (GES-0029)

Inventor 1

Date: \_\_\_\_\_

ZHIHONG (NMN) YESTATE OF NEW YORK              )  
                                    )  
                                    ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

ZHIHONG (NMN) YE to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

(Notary Public)

JUN-09-2004 WED 03:56 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 30

GE Docket No. 133070 (GB5-0025)

Inventor 2

Date: \_\_\_\_\_

VINOD (NMN) JOHN

STATE OF VERMONT                      )  
  ) SS:

COUNTY OF                              )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

VINOD (NMN) JOHN to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

80- 9-03; 14: 67 :

OE Docket No. 133070 (GES-0029)

## Inventor 3

Chang yong Wang  
CHANGYONG (NMN) WANGDate: Sep 30, 2003Witnessed by: Giming Li Date: Sep. 30, 2003  
Signature  
Giming Li  
Printed Name of WitnessWitnessed by: Hailin Wang Date: Sep. 30, 2003  
Signature  
Hailin Wang  
Printed Name of Witness

Page 4 of 12

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SEP 30 2003 03:38

PAGE 31/51 \* RCV'D AT 6/9/2004 3:48:08 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/1 \* DNIS:8729306 \* CSID:8602860115 \* DURATION (mm:ss):08:34

GE Docket No. 133070 (GE5-0029)

Inventor 4

Date: \_\_\_\_\_

LUIS JOSE GARCESSTATE OF NEW YORK              )  
                                    ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

LUIS JOSE GARCES to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

JUN-09-2004 WED 03:57 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 33

GE Docket No. 133070 (GES-0029)

Inventor 5

Date: \_\_\_\_\_

RUI (NMN) ZHOU

STATE OF NEW YORK              )  
                                    ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

RUI (NMN) ZHOU to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

JUN-09-2004 WED 03:57 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 34

80- 8-03; 14: 67

1/ 4

GE Docket No. 133070 (GES-0029)

Inventor 6

Lei Li  
LEI (NMN) LI

Date: Sep. 30, 2003

Witnessed by: Qimeng Li Date: Sep. 30, 2003  
Signature  
Qimeng Li  
Printed Name of Witness

Witnessed by: HaoQing Wang Date: Sep. 30, 2003  
Signature  
HaoQing Wang  
Printed Name of Witness

Page 7 of 12

PAGE. 01

SEP 30 2003 03:38

PAGE 34/51 \* RCVD AT 6/9/2004 3:48:08 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/1 \* DNIS:8729306 \* CSID:8602860115 \* DURATION (mm:ss):08:34

GE Docket No. 133070 (GES-0025)

Inventor 7

Date: \_\_\_\_\_

REIGH ALLEN WALLING

STATE OF NEW YORK )  
                        ) SS:

COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

REIGH ALLEN WALLING to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 8

Date: \_\_\_\_\_

WILLIAM JAMES PREMERLANISTATE OF NEW YORK )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

WILLIAM JAMES PREMERLANI to me personally known as the individual who executed  
the foregoing assignment, who acknowledged to me that he/she executed the same of his/her  
own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 9

Date: \_\_\_\_\_

PETER CLAUDIUS SANZASTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
PETER CLAUDIUS SANZA to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 10

Date: \_\_\_\_\_

YAN (NMN) LIU

STATE OF NEW YORK              )  
                                    )  
                                    SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
YAN (NMN) LIU to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 11

Date: \_\_\_\_\_

MARK EDWARD DAME

STATE OF NEW YORK )  
                       )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
MARK EDWARD DAME to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

ASSIGNMENT

In accordance with obligations entered into pursuant to an Employee Innovation and Proprietary Information Agreement or other agreement and/or for other good and valuable consideration of which we acknowledge receipt, we:

ZHIJIONG (NMN) YE	of	CLIFTON PARK, NY
VINOD (NMN) JOHN	of	MONTPELIER, VT
CHANGYONG (NMN) WANG	of	SHANGHAI CHINA
LUIS JOSE GARCES	of	SCHENECTADY, NY
RUI (NMN) ZHOU	of	CLIFTON PARK, NY
LEI (NMN) LI	of	SHANGHAI CHINA
REIGH ALLEN WALLING	of	CLIFTON PARK, NY
WILLIAM JAMES PREMERLANI	of	SCOTIA, NY
PETER CLAUDIO SANZA	of	NISKAYUNA, NY
YAN (NMN) LIU	of	SCHENECTADY, NY
MARK EDWARD DAME	of	NISKAYUNA, NY

hereby sell and assign to General Electric Company, a New York Corporation, having an address at 1 River Road, Schenectady, New York 12345, USA, (hereinafter referred to as "Company"), its successors and assigns the entire right, title and interest in and to the invention and improvements invented and originated by me/us and described in the application for United States Patent currently entitled:

**METHOD AND APPARATUS FOR ANTI-ISLANDING PROTECTION OF DISTRIBUTED GENERATORS**

- executed concurrently herewith, or  
 filed on [date] having Serial Number [Serial Number]

and any and all applications for patent and patents therefrom in any and all countries, including all divisions, continuations, reexaminations and reissues thereof, and all rights of priority resulting from the filing of said United States application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said inventions and improvements resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said inventions and improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuation, reexamination and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said invention and its improvements in all countries.

GE Docket No. 133070 (GB5-0029)

Inventor 1

Date: \_\_\_\_\_

ZIHONG (NMN) YESTATE OF NEW YORK )  
                       )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
ZIHONG (NMN) YE to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 2

Date: \_\_\_\_\_

VINOD (NMN) JOHN

STATE OF VERMONT                 )  
  )  
  ) SS:  
COUNTY OF                         )  
  )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

VINOD (NMN) JOHN to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

JUN-09-2004 WED 03:58 PM CANTOR COLBURN LLP

FAX NO. 8602860115

P. 43

GE Docket No. 133070 (GE5-0029)

Inventor 3

Date: \_\_\_\_\_

CHIANGYONG (NMN) WANG

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

GE Docket No. 133070 (GES-0029)

Inventor 4

Date: \_\_\_\_\_

LUIS JOSE GARCESSTATE OF NEW YORK )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
LUIS JOSE GARCES to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GES-0029)

Inventor 5

Date: \_\_\_\_\_

RUI (NMN) ZHOUSTATE OF NEW YORK )  
                      )  
                      ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

RUI (NMN) ZHOU to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GB Docket No. 133070 (GES-0029)

Inventor 6

Date: \_\_\_\_\_  
J.F.I (NMN) LI

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

Witnessed by: \_\_\_\_\_ Date: \_\_\_\_\_  
Signature

Printed Name of Witness

JUN-09-2004 WED 03:59 PM CANTOR COLBURN LLP  
SEP. 29, 2003 11:11AM

FAX NO. 8602860115  
NU. 540

P. 47

GE Docket No. 133070 (GES-0029)

Inventor 7

*Richard F. Wilson*  
REIGU ALLEN WALLING Date: *September 29, 2003*

STATE OF NEW YORK )  
 ) SS:  
COUNTY OF SCHENECTADY )

This 29<sup>th</sup> day of SEPTEMBER, 2003, before me personally came the above named

REIGU ALLEN WALLING to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she executed the same of his/her own free will for the purposes therein set forth.

Seal

*Richard F. Wilson*  
(Notary Public)

RICHARD F. WILSON  
NOTARY PUBLIC - STATE OF NEW YORK  
SCHENECTADY COUNTY  
NO. 4882580  
PAPERWORK EXPIRES JANUARY 23, 2007



GE Docket No. 133070 (GES-0029)

Inventor S

Date: \_\_\_\_\_

WILLIAM JAMES PREMERLANI

STATE OF NEW YORK              )  
                                    )  
                                   ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
WILLIAM JAMES PREMERLANI to me personally known as the individual who executed  
the foregoing assignment, who acknowledged to me that he/she executed the same of his/her  
own free will for the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

GE Docket No. 133070 (GE5-0029)

Inventor 9

Date: \_\_\_\_\_

PETER CLAUDIUS SANZASTATE OF NEW YORK        )  
                              )  
                              )SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named

PETER CLAUDIUS SANZA to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he/she excuted the same of his/her own free will for the purposcs therein set forth.

Seal

(Notary Public)

GB Docket No. 133070 (GBS-0029)

Inventor 10

Date: \_\_\_\_\_

YAN (NMN) LIUSTATE OF NEW YORK        )  
                              )  
                              ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
YAN (NMN) LIU to me personally known as the individual who executed the foregoing  
assignment, who acknowledged to me that he/she executed the same of his/her own free will for  
the purposes therein set forth.

Seal

\_\_\_\_\_  
(Notary Public)

Inventor 11

Date: \_\_\_\_\_

MARK EDWARD DAMESTATE OF NEW YORK )  
                       ) SS:  
COUNTY OF SCHENECTADY )

This \_\_\_\_\_ day of \_\_\_\_\_, 2003, before me personally came the above named  
MARK EDWARD DAME to me personally known as the individual who executed the  
foregoing assignment, who acknowledged to me that he/she executed the same of his/her own  
free will for the purposes therein set forth.

Seal

(Notary Public)